

# Parsing - 1

- **What is parsing?**
- **Shift-reduce parsing**
  - **Shift-reduce conflict**
  - **Reduce-reduce conflict**
- **Operator precedence parsing**

# Parsing

- **Parsing is the reverse of doing a derivation**
- **By looking at the terminal string, effectively try to build the parse tree from the bottom up**
- **Finding which sequences of terminals and nonterminals form the right hand side of production and *reducing* them to the left hand side nonterminal**

# Shift-reduce Parsing

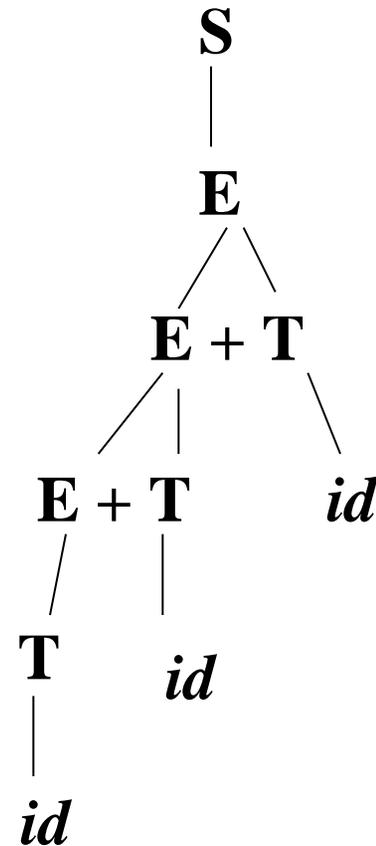
- ***Handle***- substring which is right hand side of some production; corresponds to the last expansion in a *rightmost derivation*
- Replacement of handle by its corresponding nonterminal left hand side, results in reduction to the distinguished nonterminal by a *reverse rightmost derivation*
- Parse works by shifting symbols onto the stack until have *handle* on top; then reduce; then continue

# Example

<b>S</b>		(1)
	<b>+</b>	(2)
	<b>T</b>	(3)
<b>T</b>	<i>id</i>	(4)

Rightmost derivation of  $a+b+c$ , handles in red

**S**                    **E**  
                           +  
                           + *id*  
                           +    +*id*  
                           + *id* + *id*  
                           **T** + *id* + *id*  
                           *id* + *id* + *id*



# Example

Actions: shift, reduce, accept, error

Stack

\$  
 \$ id1  
 \$ T  
 \$ E  
 \$ E +  
 \$ E + id2  
 \$ E + T  
 \$ E  
 \$ E +  
 \$ E + id3  
 \$ E + T  
 \$ E  
 \$ S

Input

id1 + id2 + id3 \$  
 id2 + id3 \$  
 + id3 \$  
 + id3 \$  
 + id3 \$  
 id3 \$  
 \$  
 \$  
 \$  
 \$

Action

shift  
 reduce (4)  
 reduce (3)  
 shift  
 shift  
 reduce(4)  
 reduce (2)  
 shift  
 shift  
 reduce (4)  
 reduce(2)  
 reduce (1)  
**accept**

S		(1)
	+	(2)
T		(3)
T	id	(4)

# Possible Problems

- Can get into conflicts where one rule implies *shift* while another implies *reduce*

**S**    **if E then S | if E then S else S**

**On stack: if E then S**

**Input: else**

**Should *shift* trying for 2nd rule or *reduce* by first rule?**

# Possible Problems

- Can have two grammar rules with same right hand side which leads to *reduce-reduce* conflicts

**A** and **B** both in grammar

When on top of the stack, how know which production choose? That is, whether to *reduce* to **A** or **B**?

- In both kinds of conflicts, problem is with the grammar, not necessarily the language
- Recall, there can be many context-free grammars corresponding to the same language!

# Shift-Reduce Parsing

- **Actions**
  - *Shift* - push token onto stack
  - *Reduce* - remove handle from stack and push on corresponding nonterminal
  - *Accept* - recognize sentence when stack contains only the distinguished symbol and input is empty
  - *Error* - happens when none of the above is possible; means original input was not a sentence!

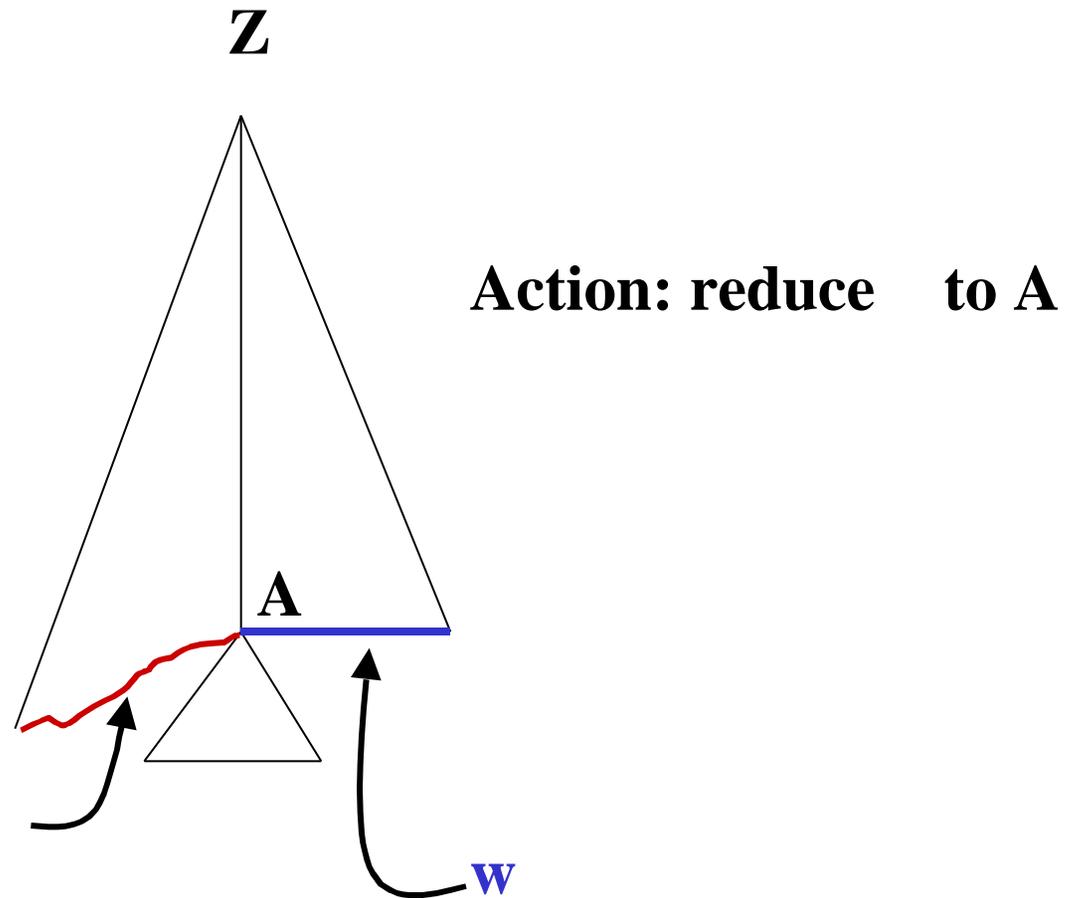
# Handles

- Any string of terminals and nonterminals derived from the distinguished nonterminal is called a *sentential form*
- If grammar is unambiguous, then each right sentential form has a **unique** handle

$$Z_{rm}^* \quad A \quad w_{rm} \quad w,$$

where  $Z_{rm}^*$  is a mixture of terminals and nonterminals;  $A$  is the handle; and  $w$  is a string of terminals

# A Handle in the Parse Tree



# Ambiguity Example

**E**

**E**    **E or E | a**

**Two rightmost derivations (handles in red):**

**Z**    **E**    **E or E**    **E or a**    **E or E or a**    **E or a or a**  
**a or a or a**

**Z**    **E**    **E or E**    **E or E or E**    **or E or a**  
**E or a or a**    **a or a or a**

**Shift *a*, reduce to E, shift *or*, shift *a*, reduce to E (now have E or E on stack). In deriv1, reduce E or E to E. In deriv2 shift *or* and *a* onto stack. SHIFT-REDUCE conflict.**

# Justification of Handle Use

- **How can we be sure that the handle will always be at the top of the stack?**
  - **Conventions: Greek letters for strings of terminals and nonterminals. Arabic letters for strings of terminals only. Capital letters are nonterminals.**
- **The following is a rightmost derivation:**  
*Case 1: A's production contains a rightmost nonterminal B.*

$Z \overset{*}{\underset{\text{rm}}{B}} \quad A \underset{\text{rm}}{q} \quad B \underset{\text{rm}}{y q} \quad y q, \text{ where}$

## Justification, cont.

Stack will contain \$ with  $yq$  in the input.

This will be reduced to \$ B with  $yq$  still in the input.

Handle can't be below B in the stack or else the derivation would have to have been:

...X...B ... ...B with in the on the stack. But this isn't a rightmost derivation, because B is to the right of X and X is being expanded first! #CONTRADICTION

# Justification, cont.

Therefore handle must contain B and it is not “buried” in the stack.

Assume the handle is  $By$  ( or  $y$  may be empty)

**Case 2: A’s production does not contain a nonterminal**

$Z_{rm}^* \quad C x A r_{rm} \quad C x y r_{rm} \quad x y r$   
where  $A$   $y$  and  $C$

## Justification, cont.

- **Stack will contain \$ with input  $xyr$ . This will be reduced to \$ C, and then  $x$  and  $y$  will be shifted onto stack. Then \$ Cxy will be reduced to \$ CxA on the stack with  $r$  remaining in the input.**
- **So the handle is not buried in the stack.**

# Operator Precedence Parsing

ASU, Ch 4.6

- **A simplified bottom up parsing technique used for expression grammars**
- **Requires**
  - **No right hand side of rule is empty**
  - **No right hand side has 2 adjacent nonterminals**
- **Drawbacks**
  - **Small class of grammars qualify**
  - **Overloaded operators are hard (unary minus)**
  - **Parser correctness hard to prove**

# Operator Precedence

- **Define three precedence relations**
  - $a < b$ ,  $a$  yields in precedence to  $b$
  - $a > b$ ,  $a$  takes precedence over  $b$
  - $a = b$ ,  $a$  has same precedence as  $b$
- **Find handle as  $\langle \text{=====} \rangle$  pattern at top of stack;**
- **Check relation between top of stack and next input symbol**
- **Basically, ignore nonterminals**

# Example

<b>Z</b>	<b>E</b>		
<b>E</b>		+	<i>id</i>

Define precedence relations between + and \*.

$+ < *$ ,  $* > +$ ,  $+ > +$ ,  $* > *$  (last 2 ensure left associativity)

Form table of precedences.

Now parse using the table,

and keep track of the

operand nonterminals, too.

Sometimes can embed error

handling in matrix.

	<b>id</b>	<b>+</b>	<b>*</b>	<b>\$</b>
<b>id</b>		>	>	>
<b>+</b>	<	>	<	>
<b>*</b>	<	>	>	>
<b>\$</b>	<	<	<	

# Example

Compare top of stack token to next input token.

<u>Stack</u>	<u>Compares</u>	<u>Input</u>
\$	<	id1 + id2 * id3 \$
\$ < id1	>	+ id2 * id3 \$
\$ E	<	+ id2 * id3 \$
\$ E +	<	id2 * id3 \$
\$ E + < id2	>	* id3 \$
\$ E + E	<	* id3 \$
\$ E + E *	<	id3 \$
\$ E + E * < id3	>	\$
\$ E + < E * E	>	\$
\$ < E + E	>	\$
\$ < E	>	\$
accept		

# Making OP parsing practical

- **How to store these precedences compactly?**
- *Precedence functions*
  - **Find functions  $f()$ ,  $g()$  such that**
    - $f(\text{token1}) > g(\text{token2})$  means  $\text{token1} > \text{token2}$
    - $f(\text{token1}) = g(\text{token2})$  means  $\text{token1} = \text{token2}$
    - $f(\text{token1}) < g(\text{token2})$  means  $\text{token1} < \text{token2}$
  - **Graph partitioning algorithm to find  $f(),g()$  if possible.**

# Precedence Functions

- **Form graph from table of precedences**
  - **Nodes formed by  $f(\text{token1}), f(\text{token2}), \dots, g(\text{token1})$  etc.**
    - **Form equivalence classes of nodes based on the = relation (equal precedence, e.g., \* /)**
  - **Edges show required relations between function values**
    - **If  $\text{token1} > \text{token2}$ , then  $f(\text{token1}) \rightarrow g(\text{token2})$**
    - **If  $\text{token1} < \text{token2}$ , then  $f(\text{token1}) \leftarrow g(\text{token2})$**
  - **If the graph is *acyclic*, then can find integer value assignments for the range values of f,g.**
    - **Let value of  $f(\text{token1})$  be the length of the longest path from the node representing  $f(\text{token1})$**

# Example

	id	+	*	\$
id		>	>	>
+	<	>	<	>
*	<	>	>	>
\$	<	<	<	

**Acyclic graph yields**

	id	+	*	\$
f:	4	2	4	0
g:	5	1	3	0

