

Dataflow Analysis

- **Lattice theoretic foundations**
 - Partial ordering
 - Meet, Join, Lattice, Chain
- **Round robin fixed point iteration**
- **Function properties**
 - Monotonicity
 - Distributivity
- **Justification for using fixed-point iteration on dataflow equations**
- **Meet Over all Paths solution**

Lattice Theory

- **Partial ordering \leq**
 - Relation between pairs of elements
 - Reflexive $x \leq x$
 - Anti-symmetric $x \leq y, y \leq x \Rightarrow x = y$
 - Transitive $x \leq y, y \leq z \Rightarrow x \leq z$
- **Poset (Set S, \leq)**
- **0 Element $0 \leq x, \forall x \in S$**
- **1 Element $1 \geq \forall x \in S$**

A poset need not have 0 or 1 element.

Lattice Theory

- **Greatest lower bound (glb)**
 $l1, l2 \in \text{poset } S, a \in S$ is $\text{glb}(l1, l2)$
if $a \leq l1$ and $a \leq l2$ then
for any $b \in S, b \leq l1, b \leq l2 \Rightarrow b \leq a$

if glb is unique it is called the meet (\wedge) of $l1$ and $l2$.

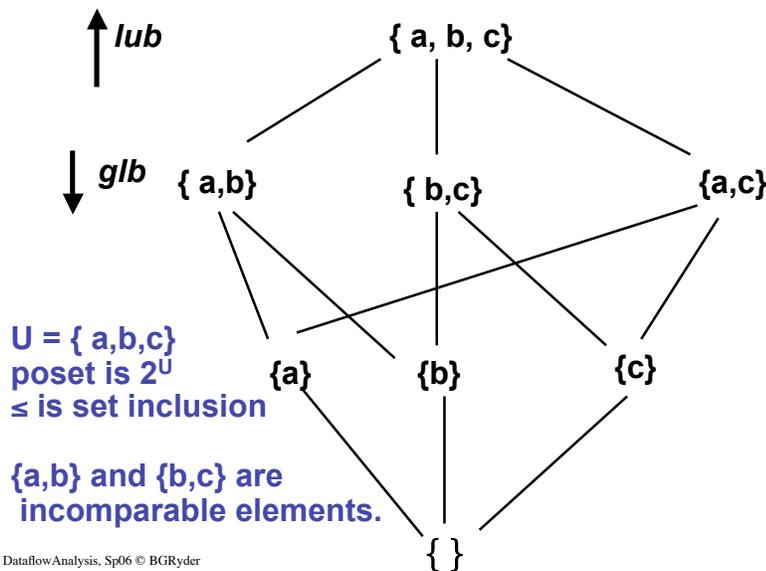
- **Least upper bound (lub)**
 $l1, l2 \in \text{poset } S, c \in S$ is $\text{lub}(l1, l2)$
if $c \geq l1$ and $c \geq l2$ then
for any $d \in S, d \geq l1, d \geq l2 \Rightarrow c \leq d$.

if lub is unique is called the join (\vee) of $l1$ and $l2$.

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Poset Example



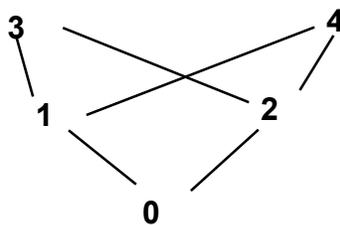
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Definition of a Lattice (L, \wedge, \vee)

- L , a poset under \leq such that every pair of elements has a unique glb (meet) and lub (join).
- A lattice need not contain an 0 or 1 element.
- A finite lattice must contain an 0 and 1 element.
- Not every poset is a lattice.
- If $a \leq x \forall x \in L$, then a is 0 element of L
- If $x \leq a \forall x \in L$, then a is 1 element of L

a poset, but not a lattice



There is no $\text{lub}(3,4)$ in this poset so it is not a lattice.

Examples of Lattices

- $\mathbf{H} = (2^U, \cap, \cup)$ where U is a finite set
 - $\text{glb}(s1, s2)$ is $(s1 \wedge s2)$ which is $s1 \cap s2$
 - $\text{lub}(s1, s2)$ is $(s1 \vee s2)$ which is $s1 \cup s2$

- $\mathbf{J} = (\mathbf{N}_1, \text{gcd, lowest common multiple})$
 - partial order relation is integer divide on \mathbf{N}_1
 $n1 \mid n2$ if division is even
 - $\text{lub}(n1, n2)$ is $n1 \vee n2 = \text{lowest common multiple}(n1, n2)$
 - $\text{glb}(n1, n2)$ is $n1 \wedge n2 = \text{greatest common divisor}(n1, n2)$

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Chain

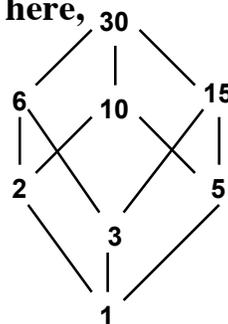
- A poset C where, for every pair of elements $c1, c2 \in C$, either $c1 \leq c2$ or $c2 \leq c1$.

e.g., $\{ \} \leq \{a\} \leq \{a,b\} \leq \{a,b,c\}$

and from the lattice as shown here,

$$1 \leq 2 \leq 6 \leq 30$$

$$1 \leq 3 \leq 15 \leq 30$$



Lattices are used in dataflow analysis to argue the existence of a solution obtainable through fixed-point iteration.

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Round-robin Fixed-point Iteration

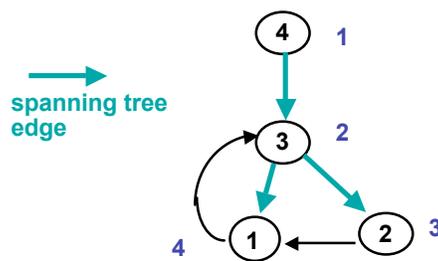
- Iterates through nodes of cfg in specific node order, which preserves the partial order of the graph.
- Recall worklist algorithm(per bit) has $O(me)$ work where $|E|=e$ and m is number of dataflow facts in the set.
- Postorder on depth-first spanning tree defines **rPostorder** which is *reverse postorder*
 - Ancestor-first node ordering, good for **forward** dataflow problems
 - $rPostorder_number = (|N| + 1) - postorder_number$
 - **Postorder** is good node ordering for **backward** dataflow problems

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rPostorder Example

control flowgraph



Postorder numbering of depth-first leftmost traversal of cfg
rPostorder# is defined as $(n+1) - \text{Postorder\#}$

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Round-robin Iteration

Form depth-first spanning tree of control flowgraph and derive rPostorder numbering for nodes

change = true
Initialize REACH(m) = \emptyset , $\forall m$

```
while (change) do
{  change = false
  for (m = 2; m <= n; m++)
  { new =  $\cup$  ( Reach(j)  $\cap$  pres(j)  $\cup$  dgen(j) )
    j = pred(m)

    if (new != REACH(m))
      then {REACH(m) = new; change = true}
  }
}
```

Round-robin tends to push dataflow facts as deep as possible on acyclic paths in the flowgraph on each iteration

Round-robin Iteration

Form depth-first spanning tree of control flowgraph and derive rPostorder numbering for nodes

change = true
Initialize REACH(m) = \emptyset , $\forall m$ $O(n)$

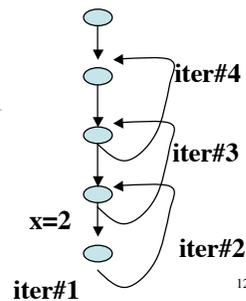
```
while (change) do
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  { new =  $\cup$  ( Reach(j)  $\cap$  pres(j)  $\cup$  dgen(j) )
    j = pred(m)

    if (new != REACH(m))
      then {REACH(m) = new; change = true}
  }
}
```

#iters of while * cost each iteration
cost for statement ~ cost of all ops during lifetime of for

d = 3; d+2 = 5

iter#5,
no change



Cost of Loop Operations

Calculate *for* statement cost over all its iterations:

1. $2(n-1)$ bit vector operations to calculate each node's factor $\text{new} = \cup (\text{Reach}(j) \cap \text{pres}(j) \cup \text{dgen}(j))$ and memoize it;
 2. unions: $(\#\text{preds}(m)-1)$ for each node m means we do $(e - n)$ unions per *for* statement for all nodes;
 3. rest of *for* is constant number of operations
- Each while loop iteration costs $(e - n) + (2n-2) \approx e + n$;

Therefore, entire algorithm costs $O(de)$, ($\approx O(dn)$ by assumption for flowgraphs), bit vector operations where $d+2$ for classical bitvector problems.

Note: this dominates $O(e)$ of calculation of rPostorder numbers and the $O(n)$ of initialization loop.

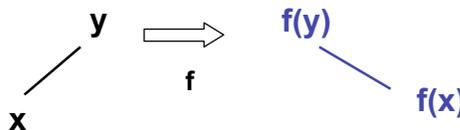
More Lattice Properties

- **Finite length:** if every chain in lattice is finite
- **Bounded lattice:** if lattice contains both 0 and 1 elements
- **Distributive lattice:** if $\forall x,y,z \in S$

$$x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$$

$$x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$$
- (S, \leq) poset, $f: S \rightarrow S$ is **monotonic** iff

$$\forall x, y \in S, x \leq y \Rightarrow f(x) \leq f(y)$$
- **Monotonic functions preserve domain ordering in their range values**



Fixed point theorem - Why it works?

Intuition--

Given a 0 in lattice and monotonic function f , $0 \leq f(0)$.

Apply f again and obtain

$$0 \leq f(0) \leq f(f(0)) = f^2(0)$$

Continuing,

$0 \leq f(0) \leq f^2(0) \leq f^3(0) \leq \dots \leq f^k(0) = f^{k+1}(0)$ for a finite chain lattice.

$$k \Rightarrow \infty$$

This is tantamount to saying $\lim_{k \Rightarrow \infty} f^k(0)$ exists and is called the *least fixed point* of f ,

since $f(f^k(0)) = f^{k+1}(0)$

Fixed Point Theorem

Thm: $f: S \rightarrow S$ monotonic function on poset (S, \leq) with a 0 element and finite length. The *least fixed point* of f is $f^k(0)$ where

i. $f^0(x) = x$,

ii. $f^{i+1}(x) = f(f^i(x))$, $i \geq 0$,

iii. $f^k(0) = f(f^k(0))$ and this is the smallest k for which this is true.

- For any p such that $f(p)=p$, $f^k(0) \leq p$.
- Theorem justifies the iterative algorithm for global data flow analysis for lattices & functions with right properties
- Dual theorem exists for 1 element and *maximal fixed point* for k such that $f^k(1) = f^{k+1}(1)$.

Application to DFA on CFG

- **Cartesian cross product of posets is a poset**
 - if (S, \leq) is a poset, then $(S \times S \times \dots \times S, \leq')$ is a poset whose partial ordering is component-wise \leq
 $W=(W_1, W_2, \dots, W_n) \leq' Y=(Y_1, Y_2, \dots, Y_n)$ iff
 $W_1 \leq Y_1$ and $W_2 \leq Y_2, \dots,$ and $W_n \leq Y_n$
- **Cartesian cross product of lattices is a lattice (with an induced partial ordering)**
- **Monotone function on cross product lattice can be built from monotone functions on each component lattice** $F(Y_1, Y_2, \dots, Y_n) = (g_1(Y_1, Y_2, \dots, Y_n), \dots, g_n(Y_1, Y_2, \dots, Y_n))$
where $g_i : (L, L, \dots, L) \rightarrow L$ and \leq' is component-wise \leq from L

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Example - Available Exprs

- lattice is 2^{Exprs} where Exprs is set of all binary expressions in program
- Partial order is \subseteq (subset inclusion) so meet is \cap
- $\langle \text{Exprs}, \text{Exprs}, \dots, \text{Exprs} \rangle$ is 1 element
- $\langle \emptyset, \emptyset, \dots, \emptyset \rangle$ is 0 element
- From the data flow equations for AVAIL, we know that if a set of dataflow facts X is true on entry to a flowgraph node n , then $f(X)$ is true on each exit edge of n where
$$f(X) = \text{epres}(n) \cap X \cup \text{egen}(n)$$

 f is called the *transfer function* for AVAIL

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Example, Available Exprs

- **Cross product lattice is**
 - $(2^{\text{Exprs}}, 2^{\text{Exprs}}, \dots, 2^{\text{Exprs}})$ with n components where n is number of nodes in the cfg and \leq' is \leq component-wise
- **Avail equation at a node can be expressed thusly,**
$$\text{Avail}(j) = \bigcap_{m \in \text{Pred}(j)} \{ \text{Avail}(m) \cap \text{epres}(m) \cup \text{egen}(m) \}$$
 - **AVAIL (j)** is the solution at entry of node j and $f(\text{AVAIL}(j))$ is solution at exit of node j ,
$$g_j = \bigcap_{m \in \text{Pred}(j)} f(g_m)$$
 - **One step of the worklist algorithm maps a potential solution for AVAIL at a node of the cfg to another potential solution for that node**

Example, Available Exprs

- **Can you show g_i monotone?**
$$g_i : (2^{\text{Exprs}}, 2^{\text{Exprs}}, \dots, 2^{\text{Exprs}}) \rightarrow 2^{\text{Exprs}}$$
- **Then this induces the monotonicity of F ,**
$$F = (g_1, \dots, g_n)$$
- **Application of dual of fixed point theorem here to find the maximal fixed point. Iterate down from the 1 element.**
 - **Initialize ρ to \emptyset , all other cfg nodes to Exprs.**

Sketch of Validity Proof of Iterative Algorithm for DFA

- Let $\text{Redef}(Y_1^i \dots Y_n^i)$ be result after i steps of the worklist algorithm (per node) for DFA.
 $\text{Redef}(Y_1^i \dots Y_n^i) = (Y_1^i \dots Y_{k-1}^i, g_k(Y_1^i \dots Y_n^i), Y_{k+1}, \dots, Y_n)$
- In the next iteration ($i+1$ st), only 1 component of $Y = (Y_1^i \dots Y_n^i)$ changes and this component is chosen non-deterministically.
- Recall we have a function defined on the flowgraph: $F(Y_1, \dots, Y_n) = (g_1(Y_1, \dots, Y_n), \dots, g_n(Y_1, \dots, Y_n))$
 where $g_j : (L, L, \dots, L) \rightarrow L$ is defined by the dataflow problem

Sketch of Proof, cont.

- Assume minimal fixed point of F is $F^m(0)$.
 - Must show iterative algorithm halts at a fixed point of Redef function, Redefmin , and that this fixed point is meaningful to the data flow problem under solution, namely $\text{Redefmin} = F^m(0)$.
 - First, show iterates of Redef form a chain.
 $0 \leq Y^1 \leq Y^2 \leq Y^3 \leq \dots \leq Y^k$
- Therefore, $\text{Redefmin} = \text{Redef}^{fk}(0)$ for some smallest k ,
 by finiteness of chains on the lattice.

Sketch of Proof, cont.

Second, let $F^m(0)$ be the minimum fixed point of the data flow function F on the cross product lattice. Show

$F^m(0) \leq' \text{Redefmin}$.

$F^m(0) \leq' \text{Redefmin}$ because Redefmin is also a fixed point of F , but not necessarily its minimal fixed point.

Third, show $\text{Redefmin} \leq' F^m(0)$ in two steps

(1) $\text{Redef}^k(0) \leq' F^k(0)$ for all k and

(2) $\text{Redefmin} = \text{Redef}^k(0)$ for large enough k ,

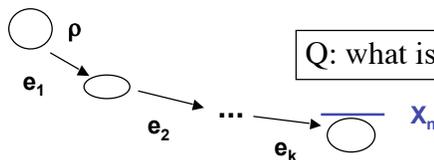
so for $k \geq k'$ large enough, $\text{Redefmin} \leq' F^{k'}(0) \leq' F^m(0)$ by defn of minimum fixed pt

Therefore, $\text{Redefmin} = F^m(0)$ and the fixed point iterative procedure does find the minimal fixed point on the lattice

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Meet Over all Paths solution



- Maximal data flow information desired is obtained by traversing ALL PATHS from ρ to n .
- Consider $X_n = \Lambda f_Q(0)$, for Q a path in the flowgraph, $Q = e_1, e_2, \dots, e_k$ so that

$$X_n = \Lambda f_{e_k} \circ f_{e_{k-1}} \circ \dots \circ f_{e_2} \circ f_{e_1}(0)$$

$$Q = e_k \dots e_1$$

MOP is best summary of data flow facts possible to compute at compile-time.

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