

Functional Programming - 3

- Detour: short explore of static and dynamic scoping
 - Locally declared variables versus heap stored variables
 - Some slides co-developed with Dr. Alex Borgida, Rutgers University
- Tail recursion
- Closures
- Streams

Lexical Scoping

- Block structured PLs
 - Allow for local variable declaration
 - Inherit global variables from enclosing blocks
 - Local declarations take precedence over inherited ones
 - Hole in scope
 - Lookup for non-local variables proceeds from inner to enclosing blocks in inner to outer order.
 - Used in Algol, Pascal, Scheme (with *let*), C++, C, Java
 - Some languages historically were "flat" with no nested procedure declarations (e.g., C)
 - Let's in Scheme allow this construct

Example

Visibility of procedures/functions is the same as visibility of variables.

```

program
  a, b, c: integer;
  procedure p
    c: real;
    procedure s
      c, d: integer;
      procedure r
        ...
      end r;
      r;
    end s;
    r;
    s;
  end p;
  procedure r
    a: integer;
    = a, b, c;
  end r;
  ...; p; r; ...
end program

```

Q: Which procedure r is called here?

Q: Which variables a, b, c are read here?

Q: Which procedure r is called here?

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Example - Block Structured PL

```

program
  a, b, c: integer;
  procedure p
    c: integer;
    procedure s
      c, d: integer;
      procedure r
        ...
      end r;
      r;
    end s;
    r;
    s;
  end p;
  procedure r
    a: integer;
    = a, b, c;
  end r;
  ...; p; ...
end program

```

main.a, main.b, p.c main.p(), p.s(), main.r()

main.a, main.b, s.c, s.d main.p(), s.r(), p.s()

s.r(), p.s(), main.p()

r.a, main.b, main.c main.r(), main.p()

nested block structure
allows locally defined
variables and functions

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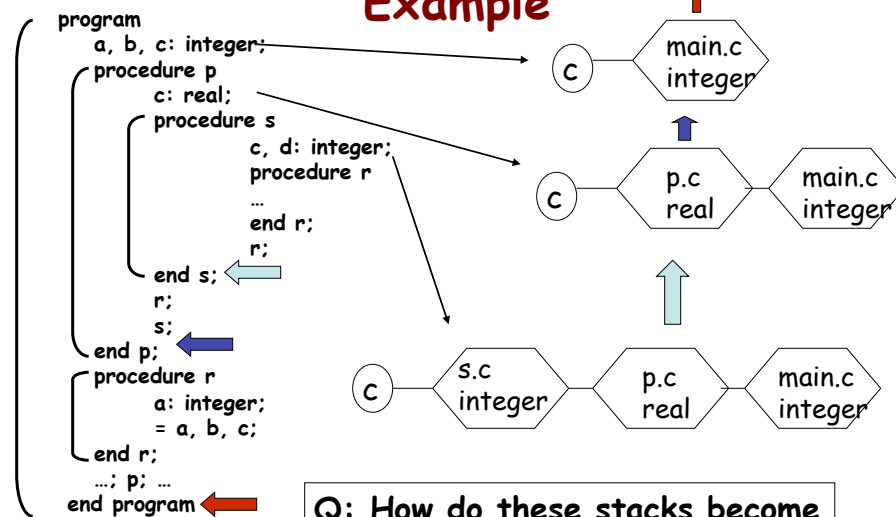
Symbol Table

- Must support insertion, deletion, lookup of names
- For lexical scoping, need to use a stack for storing attributes of a variable (to handle **hole-in-scope**)
- Need to update as enter and leave a **block** at compile time (during translation)
- Used by compiler and debugger (at runtime)

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Example



Q: How do these stacks become updated as execution proceeds in the debugger?

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Dynamic Scoping

- What if declarations are entered into the symbol table as they are encountered at runtime?
 - Declarations are processed as they are encountered on an execution path
 - Lookup for non-local variables proceeds from closest dynamic predecessor to farthest
 - Or if variables are dynamically typed by their usage (as in Scheme and Prolog)
- Used mainly in interpreted PLs (e.g. Perl, APL)

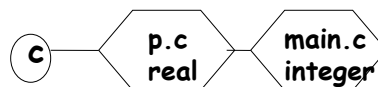
Example

```

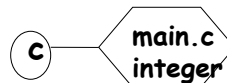
program
  a, b, c: integer;
  procedure p
    c: real;
    procedure s
      c, d: integer;
      procedure r
        ...
      end r;
      r;
    end s;
    r;
    s;
  end p;
  procedure r
    a: integer;
    = a, b, c;
  end r;
  ...; p; ...
end program
  
```

Dynamic scoping

Main calls main.p() calls main.r():



Static scoping in main.r():



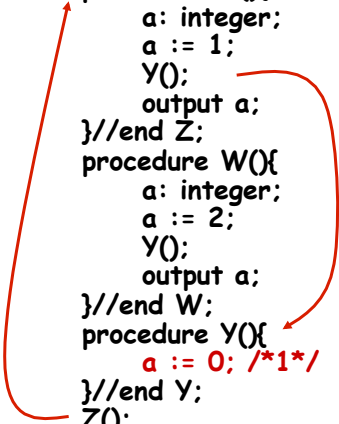
Example

```
main{
  procedure Z(){
    a: integer;
    a := 1;
    Y();
    output a;
  }//end Z;
  procedure W(){
    a: integer;
    a := 2;
    Y();
    output a;
  }//end W;
  procedure Y(){
    a := 0; /*1*/
  }//end Y;
  Z();
  W();
}//end main
```

Which a is modified by **/*1*/** under dynamic scoping? **Z.a** or **W.a** or both?

Example

```
main{
  procedure Z(){
    a: integer;
    a := 1;
    Y();
    output a;
  }//end Z;
  procedure W(){
    a: integer;
    a := 2;
    Y();
    output a;
  }//end W;
  procedure Y(){
    a := 0; /*1*/
  }//end Y;
  Z();
  W();
}//end main
```



A red arrow originates from the `main{` line and points to the `Z();` line. Another red arrow originates from the `Z();` line and points to the `Y();` line inside the `procedure Z()` block. A third red arrow originates from the `Y();` line inside the `procedure Z()` block and points to the `a := 0; /*1*/` line inside the `procedure Y()` block.

main calls Z,
Z calls Y,
Y sets **Z.a** to 0.

```

main{
  procedure Z(){
    a: integer;
    a := 1;
    Y();
    output a;
  }//end Z;
  procedure W(){
    a: integer;
    a := 2;
    Y();
    output a;
  }//end W;
  procedure Y(){
    a := 0; /*1*/
  }//end Y;
  Z();
  W();
}//end main

```

Example

main calls W,
W calls Y,
Y sets **W.a** to 0.

Is this program legal under static
scoping? If so, which a is modified?
If not, why not?

```

main{
  procedure Z(){ /*4*/
    a: integer;
    a := 1;
    W();
    /*9*/ Y();
    output a;
  }// end Z /*10*/
  procedure W(){ /*5*/
    a: integer;
    a := 2;
    Y();
    output a;
  }//end W /*8*/
  procedure Y(){ /*6*/
    a := 0;
  }//end Y /*7*/
  /*3*/ Z();
}//end main

```

Example

table entry for a at:	
/*3*/	empty ← top
/*4*/	&(Z.a)
/*5*/	&(W.a), &(Z.a)
/*6*/	&(W.a), &(Z.a)
/*7*/	&(W.a), &(Z.a)
/*8*/	&(Z.a)
/*9*/	&(Z.a)
/*6*/	&(Z.a)
/*7*/	&(Z.a)
/*10*/	empty

Two Versions of Scope

```
(let ((x 10))
  (let ((f (lambda (a) (+ a x))))
    (let ((x 2))
      (* x (f 3) ) ) )
```

Will it evaluate to

- $(\ast \ x \ (\text{lambda } (a)(+ \ a \ x) \ 3) \ \text{-->})$
 $(\ast \ 2 \ ((\text{lambda } (a)(+ \ a \ 10) \ 3) \)) \ \text{--> } 26$

*“lexical
scoping”*

or

- $(\ast \ x \ (\text{lambda } (a)(+ \ a \ x) \ 3) \ \text{-->})$
 $(\ast \ 2 \ ((\text{lambda } (a)(+ \ a \ 2) \ 3) \)) \ \text{--> } 10$

*“dynamic
scoping”*

Scheme chose lexical scoping model

Example - Scheme

```
((lambda (x)
  ((lambda (y)
    ((lambda (z)
      (+ x y))
      5)
     4)
    3)
```

evaluates to 12

```
(let ((x 3))
  (let ((y 4))
    (let ((z 5))
      (+ x y))))
```

also evaluates to 12

$(\text{let } ((x \ 2)) \ (+ \ x \ \dots) \)$ is just an abbreviation for
 $(\text{LAMBDA } (x) \ (+ \ x \ \dots)) \ 2$

Tail Recursive Functions

- If the result of a function is computed without a recursive call OR if it is the result of an immediate recursive call, then the function is *tail recursive*
 - E.g., **foldl**
- Tail recursive functions are **efficient**, because the result is *accumulated* in one of the arguments (saves space)
 - Don't need a stack to compute tail recursive functions!

Two Defns of Length function

```
(define (len ys)
  (if (null? ys)
      0
      (+ 1 (len (cdr ys)))))
(len '(3 4 5))
```

Len not tail recursive

```
(define (lentr ys tot)
  (if (null? ys)
      tot
      (lentr (cdr ys)
              (+ 1 tot))))
(define (len2 ys)
  (lentr ys 0))
(len2 '(3 4 5))
```

Lentr is **Tail recursive**
Tot is used to accumulate
the length calculation

Tail Recursive Factorial

```
(define (fact n)
  (cond
    ((zero? n) 1)
    ((eq? n 1) 1)
    (else (* n
              (fact (- n 1))))))
```

fact is original version

```
(define (factor n acc)
  (cond ((zero? n) 1)
        ((eq? n 1) acc)
        (else (factor (- n 1)
                        (* n acc)))))
```

```
(define (factorial n)
  (factor n 1))
```

factor is tail recursive version

Closures

- A **closure** is a function value plus the environment in which it is to be evaluated
 - Sometimes need to include variables not local to the function so closure can eventually be evaluated
- A **closure** can be used as a function
 - Applied to arguments
 - Passed as an argument
 - Returned as a value

Closure Bindings are 'Immortal'

- Normally, when execution exits a let or a function, its bindings disappear.
- If those bindings are part of a closure
 - When the let exits they become inactive but are not destroyed
 - They become active whenever (and wherever) the closure is called

f is constant 10 function

```
(let ((x 5))
  (let ((f (let ((x 10)) (lambda (y) x) )))
    (list x (f 1) x (f 1)) ) ) yields (5 10 5 10)
```

Evaluation of Closures

```
(define (gg z)
  (let* ((x 2) (f (lambda(y) (+ x y)))) (map f z)))
```

gg is actually a closure which is `(lambda (z) (map f z))` where the defining environment is `{ x → 2; f → (lambda (y) (+ x y)) }` we need this environment to evaluate **gg**.

>(square 2)

4 ; is assumed to be evaluated in the context of the empty environment {}

>(gg '(1 2 3))

1. value of **gg** is its closure

2. closure environment is expanded by argument association with parameter `{ x → 2; f → (lambda (y) (+ x y)); z → '(1 2 3) }`

3. evaluation occurs and (3 4 5) is returned

More on Evaluation of Closures

`(define ff (lambda (x) (* 2 x)))` ; binds ff to a closure

If evaluate ff, the system will print something like this:

`(lambda (a1) ...)` showing its value is a closure

If evaluate (ff), the system will complain about a missing argument

If evaluate (ff 3) the system will return 6.

Currying, revisited

- What's going on?
 - *We are reducing n-ary functions to n applications of unary functions*
 - Can always do this, so n-ary functions don't add more power to your language
 - $+ : R \times R \rightarrow R$, $\text{curried+} : R \rightarrow (R \rightarrow R)$
 - `(define (curried+ x) (lambda (y) (+ x y)))`
 - `((curried+ 2) 3)` yields 5
 - `(let ((f (curried+ 1))) (f 10))` yields 11

Currying (and Closures)

```
>(define (mm x y) (* x y))  
>mm ; returns a closure  
>(mm 2) ; returns error because mm expects 2 arguments, not 1!  
>(mm 2 3) ; returns 6
```

```
>(define hh (lambda (x) (lambda (y) (* x y)) ))  
>hh ; returns (lambda (a1) ...)  
>(hh 2 3) ; not called in a curried manner, one argument at a  
time, returns  
    hh: expects only 1 argument, but found 2  
>((hh 2) 20) ; proper way to call a curried fcn of 2 args  
40  
>(hh 2) ; hh plus 1 argument returns a closure  
    (lambda (a1) ...)
```

Streams

- A mechanism to generate an unbounded number of elements in a sequence
- Involves putting a function value as an element in a list and then executing that function to produce a sequence of values

```
(define (stream f n) (cons (f n) (list f))) ; encodes  
value of f applied to n as first element of the list  
and f as the rest of list  
(define (head str) (car str)) ; head retrieves the next  
value that is stored as the first element of list  
(define (tail str) (cons (apply (car (cdr str))  
                               (list (car str))) (cdr str))) ;  
tail constructs a new list with the next value as its  
car and the generating function as its cdr.
```

Example

```
(define (stream f n) (cons (f n) (list f)))  
(define (head str) (car str))  
(define (tail str)  
  (cons (apply (car (cdr str))  
              (list (car str))) (cdr str)))  
(define (square x) (* x x))  
(define ss (stream square 2))  
(head ss)  
>4  
(head (stream (lambda(x)(* 2 x)) 5))  
>10  
(tail ss)  
> (list 16 (lambda (a1) ...))  
(head (tail ss))  
>16  
(head (tail (tail ss)))  
>256
```